

# RESPONSIBLE MINERALS ASSURANCE PROCESS ASSESSMENT REPORT

The flagship program of the RMI, the Responsible Minerals Assurance Process (RMAP), formerly the Conflict-Free Smelter Program (CFSP), takes a unique approach to helping companies make informed choices about responsibly sourced minerals in their supply chains. Focusing on a “pinch point” (a point with relatively few actors) in the global metals supply chain, the RMAP uses an independent third-party audit of smelter/refiner management systems and sourcing practices to validate conformance with RMAP protocols and current global standards. The audit employs a risk-based approach to validate smelters' company level management processes for responsible mineral procurement. Companies can then use this information to inform their sourcing choices. For more information, please visit:

[www.responsiblemineralsinitiative.org](http://www.responsiblemineralsinitiative.org).

<b>Auditee Name</b>	<i>Emirates Gold DMCC</i>
<b>CID Number</b>	<i>CID002561</i>
<b>Facility Address</b>	<i>Enterprise Zone 1, Al Sarayat Street, Jumeirah Lakes Towers, Dubai, United Arab Emirates</i>
<b>Assessment Date(s)</b>	<i>26-27 March 2019</i>
<b>Assessment Type</b>	<i>Annual</i>
<b>Assessed Material</b>	<i>Gold</i>
<b>Sourcing from High-Risk Supply Chains</b>	<i>No</i>
<b>Conformance Validity</b>	<i>This audit is valid for 1 year</i>

## I. ASSESSMENT SCOPE

<b>Assessment Period</b>	1 January 2018 – 31 December 2018
<b>Assessment Company</b>	ARCHE Advisors

## II. ASSESSMENT OBJECTIVES

*The objective of the assessment is to assess the Auditee’s level of conformance with the Responsible Minerals Assurance Process GOLD Standard of 2017.*

## III. ASSESSMENT METHODOLOGY

*The assessment consisted of collecting and reviewing objective evidence including documentation, management and employee interviews, facility walk-through, and other observations demonstrating that the smelter/refiner’s due diligence management system conforms, in all material aspects, to the requirements of the applicable Standard.*

## IV. CONCLUSION

<b>Assessment Results:</b>	
<input checked="" type="checkbox"/>	The assessment was conducted in accordance with ISO19001:2011 Standard, taking into account the guidance provided by the Responsible Minerals Assurance Process. The auditor verified the scope, selected samples, and gathered objective evidence through documentation review, interviews, and visual observations.
<input checked="" type="checkbox"/>	The auditor found that the Auditee’s due diligence system are in conformance, in all material aspects, with the requirements of the Responsible Minerals Assurance Process Gold Standard of 2017 and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.
<input type="checkbox"/>	The auditor identified material non-conformance(s) between the Auditee’s systems, processes and practices and the requirements of the Responsible Minerals Assurance Process Tin and Tantalum / Tungsten / Gold Standard of 2017 and the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.  Material non-conformance(s) relate to:
<input type="checkbox"/>	Step 1: Establish strong company management systems



Step 2: Identify and assess risks in the supply chain

Step 3: Design and implement a strategy to respond to identified risks

Step 5: Report annually on supply chain due diligence

Zero tolerance findings: None

**Auditor Statements:**

The information provided by the Auditee is true and accurate to the best knowledge of the Auditor(s) preparing the report.

The findings are based on verified objective evidence relevant to the time period for the assessment.

The Auditor(s) have acted in a manner deemed ethical, truthful, accurate, professional, independent and objective.

The Auditor(s) are properly qualified to carry out the assessment.

There were no limitations to this audit.

*Please specify in case of any limitations*



**Recognition that**

**CID002561**

**Emirates Gold DMCC**

***Completed an assessment against the Responsible Minerals Assurance Process Standard for Gold 2017***

---

**Assessment date:** 27 March 2019

**Valid until date:** 27 March 2020

---

RBA Executive Director  
Robert Lederer

Handwritten signature of Robert Lederer in black ink.

RBA Vice President  
Leah Butler

Handwritten signature of Leah Butler in black ink.